

2020 Form M-1

MEWA-ECE Form

This Form is Open to
Public Inspection

Report for Multiple Employer Welfare Arrangements (MEWAs) and Certain Entities Claiming Exception (ECEs)

This filing is required to be filed under section 101(g) of the
Employee Retirement Income Security Act of 1974, as
amended by the Patient Protection and Affordable Care
Act.

OMB No. 1210-0116

Department of Labor
Employee Benefits
Security Administration

PART I PURPOSE OF FILING

Complete as applicable:

A

Identify the type of filing:

(1) ☐ Annual Report:

☒ Calendar Year

☐ or the fiscal year beginning 01/01/2020 and
ending 12/31/2020

(2) ☐ MEWA Registration

Date: 01/01/2020

Reasons for filing:

Began Operating

(3) ☐ ECE Origination

Date:

(4) ☐ ECE Special Filing

Date:

B Check if any of the following:

Check here if this is a final report ☐

Check here if this is an amended report ☐

Check here if this is a request for an extension ☐

C Identify the type of entity:

(1) ☒ A Plan MEWA

(2) ☐ A Non-Plan MEWA

(3) ☐ An Entity Claiming Exception (ECE)

D Enter the most recent date the MEWA or ECE filed the
Form M-1:

☒ Check the box if this is the first filing or enter the date
below: 04/21/2021

PART II CUSTODIAL & FINANCIAL INFORMATION

1a Name and address of the MEWA or ECE

Kansas Independent College Foundation Pooled Employee Health Plan

700 S. Kansas Avenue, Suite 622

Topeka, KS 66603

1b Telephone number of the MEWA or ECE (785) 235-9877

1c Employer Identification Number (EIN) 48-0636041

1d Plan Number (PN) 501

2a Name and address of the administrator of the MEWA or ECE

KICF Employee Benefits Advisory Committee

Attn: Matthew Lindsey

700 S. Kansas, Suite 622

Topeka, KS 66603

2b Telephone number of the administrator (785) 235-9877

2c EIN 48-0636041

2d E-mail address of the administrator

matt@kscolleges.org

3a Name and address of the entity or entities sponsoring the MEWA or ECE

Kansas Independent College Foundation

700 S. Kansas, Suite 622

Topeka, KS 66603

3b Telephone number of the sponsor (785) 235-9877

3c EIN 48-0636041

4a Name and address of the agent for service of process or registered agent

Kansas Independent College Foundation

Attn: Matthew Lindsey

700 S. Kansas, Suite 622

Topeka, KS 66603

4b Telephone number of such person (785) 235-9877

4c E-mail address of such person

matt@kscolleges.org

5a Name and address of each member of the Board, officer, trustee, or custodian of the MEWA or ECE

Dr. Elizabeth Mauch

335 E. Swensson Street

Lindsborg, KS 67456

5b Telephone number of each such person

5c E-mail address of such person

5a Name and address of each member of the Board, officer, trustee, or custodian of the MEWA or ECE

Dr. Jon Gering

300 E. 27th Street

Newton, KS 67117

5b Telephone number of each such person

5c E-mail address of such person

5a Name and address of each member of the Board, officer, trustee, or custodian of the MEWA or ECE

Dr. Matt Thompson

100 E. Claflin

Salina, KS 67401

5b Telephone number of each such person

5c E-mail address of such person

5a Name and address of each member of the Board, officer, trustee, or custodian of the MEWA or ECE

Mr. Kevin Ingram

1415 Anderson Avenue

Manhattan, KS 66502

5b Telephone number of each such person

5c E-mail address of such person

5a Name and address of each member of the Board, officer, trustee, or custodian of the MEWA or ECE

Dr. Michael Schneider

1600 E. Euclid

McPherson, KS 67460

5b Telephone number of each such person

5c E-mail address of such person

5a Name and address of each member of the Board, officer, trustee, or custodian of the MEWA or ECE

Dr. Kathleen Jagger

3100 W. McCormick

Wichita, KS 67213

5b Telephone number of each such person

5c E-mail address of such person

5a Name and address of each member of the Board, officer, trustee, or custodian of the MEWA or ECE

Dr. Reggies Wenyika

1001 S. Cedar

Ottawa, KS 66067

5b Telephone number of each such person

5c E-mail address of such person

5a Name and address of each member of the Board, officer, trustee, or custodian of the MEWA or ECE

Dr. Scott Rich

125 West Cooper Street

Sterling, KS 67579

5b Telephone number of each such person

5c E-mail address of such person

5a Name and address of each member of the Board, officer, trustee, or custodian of the MEWA or ECE

Dr. Jules Glazner

400 S. Jefferson

Hillsboro, KS 67063

5b Telephone number of each such person

5c E-mail address of such person

5a Name and address of each member of the Board, officer, trustee, or custodian of the MEWA or ECE

Dr. Diane Steele

4100 S. 4th Street

Leavenworth, KS 66048

5b Telephone number of each such person

5c E-mail address of such person

6a Name and address of all promoters and/or agents responsible for marketing the MEWA or ECE

n/a

n/a

n/a, KS n/a

6b Telephone number of each promoter or agent

6c E-mail address of such person

6d EIN of each promotor or agent

7a Name and address of any person, financial institution(s), or other entity holding assets for the MEWA or ECE

n/a - All benefits are fully insured

n/a

n/a, KS n/a

7b Telephone Number of person, financial institution, or entity

8a Name and address of any actuary(ies) providing services to the MEWA or ECE

n/a - All benefits are fully insured

n/a

n/a, KS n/a

8b Telephone number of each actuary

8c E-mail address of each actuary

8d EIN of each actuary

9a If the MEWA or ECE has a contract with a third party administrator (TPA) the name and address of the third party administrator(s)

n/a - All benefits are fully insured

n/a

n/a, KS n/a

9b Telephone number of each TPA

9c E-mail address of each TPA

9d EIN of each TPA

10a Name and address of any person or entity that has authority or control over the MEWA's or ECE's assets or over assets paid to the entity by plans or employers for the provision of benefits

n/a - All benefits are fully insured

n/a

n/a, KS n/a

10b Telephone number of each such person or entity

10c E-mail address of such person or entity

10d EIN of each such person or entity

11a Name and address of any person or entity that has discretionary authority, control, or responsibility with respect to the administration of the MEWA or ECE or any benefit program offered by it

KICF Employee Benefits Advisory Committee
Attn: Matthew Lindsey
700 S. Kansas, Suite 622

Topeka, KS 66603

11b Telephone number of each such person or entity (785) 235-9877

11c E-mail address of such person or entity

matt@kscolleges.org

11d EIN of each such person or entity 48-0636041

12a Names and addresses of the MEWAs or ECEs that merged

n/a

n/a

n/a, KS n/a

12b Telephone number of the entities

12c EINs

12d PNs

13 Do you have an opinion from an actuary assessing the MEWA's or ECE's actuarial soundness, including the adequacy of contribution rates? No

14a Are you, your entity, and/or its officers, directors, and employees covered by fiduciary liability policies? Please identify the carrier that issued the fiduciary liability policy(ies) in the space provided. Yes

Carrier: QBE (Arrowhead) Policy Holder: Kansas Independent College Foundation Coverage Limit: \$50,000

14b Are the fiduciaries of each of the plans whose participants are receiving benefits from the entity covered by a fiduciary liability policy? No

15 Are all assets in the possession of the MEWA or ECE maintained consistent with section 403 of ERISA and 29 CFR 2550.403a-1 and 2550.403b-1? Yes

If no, please explain.

Neither the MEWA nor its sponsoring organization holds any plan assets. As of January 1, 2020, all benefits under the program are fully insured and all contributions are transmitted directly to the insurance carrier by the participating employers.

16a Within the past five years, has any litigation or other enforcement proceeding (including any administrative proceeding) regarding any MEWA, ECE, or Group Health Plan been instituted by a Federal or State agency against the MEWA or ECE, a trustee, or a director, owner, partner, senior manager, or officer of the sponsoring entity? No

If yes, please identify each litigation or enforcement proceeding to include (if applicable): (1) the case number, (2) the date, (3) the nature of the proceedings, (4) the court, (5) all parties (for example, plaintiffs and defendants or petitioners and respondents), and (6) the disposition.

16b Have any of the persons or entities listed in this Part II ever been the subject of any criminal or civil investigation or action involving dishonesty or breach of trust or been convicted of a felony? No

If yes, please explain.

16c Have any cease and desist orders been issued by a Federal or State agency against any of the entities listed in this Part II? No **If so, please list the issuing entities and the year in which each order was issued.**

Entity	Year
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17 Complete a separate row for each state in which the entity operates in the following chart. (Note: Only entities that provide medical care (within the meaning of ERISA section 733(a) (2)) are required to file the Form M-1.):

17a	17b	17c	17d	17e	17f	17g	17h	17i	17j
Enter all States where the MEWA or ECE is operating.	Is coverage provided?	State registration number.	Name of state agent or entity for service of process.	Is the entity a licensed health insurer in this State?	If yes to 17e, enter NAIC number.	If no to 17e, is the entity fully insured?	If yes to 17g, enter name and NAIC number of insurer.	Does the entity purchase stop loss coverage?	If yes to 17i, enter the name and NAIC number of insurer.
KS <input type="checkbox"/> New State	Yes			No		Yes	Blue Cross and Blue Shield of Kansas - 70729	No	

18 Of the States identified in box 17a, identify those States in which the entity conducted 20 percent or more of its business (based on the number of participants receiving coverage for medical care). KS

19 Total number of participants covered under the entity. 920

PART III INFORMATION FOR COMPLIANCE WITH PART 7 OF ERISA

20 If you answered yes to box 16a, in reference to any State or Federal litigation or other enforcement proceeding (including any administrative proceeding), check yes below if the allegation concerns a provision under part 7 of ERISA, a corresponding provision under the Internal Revenue Code or Public Health Service Act, a breach of any duty under Title I of ERISA if the underlying violation relates to a requirement under part 7 of ERISA, or a breach of a contractual obligation if the contract provision relates to a requirement under part 7 of ERISA. N/A

21 Is the MEWA subject to part 7 of ERISA on the date of the filing? (Note: The Self-Compliance Tool at www.dol.gov/ebsa/pdf/cagappa.pdf (<http://www.dol.gov/ebsa/pdf/cagappa.pdf>) may be helpful in answering Boxes 21-21f.) If "yes," complete the following. Yes

21a Is the coverage provided by the MEWA or ECE in compliance with the portability and nondiscrimination provisions of the Health Insurance Portability and Accountability Act of 1996, including Title I of the Genetic Information Nondiscrimination Act of 2008, and the Department of Labor's (Department's) regulations issued thereunder? Yes

21b Is the coverage provided by the MEWA or ECE in compliance with the Mental Health Parity Act of 1996 and the Mental Health Parity and Addiction Equity Act of 2008 and the Department's regulations issued thereunder? Yes

21c Is the coverage provided by the MEWA or ECE in compliance with the Newborns' and Mothers' Health Protection Act of 1996 and the Department's regulations issued thereunder? Yes

21d Is the coverage provided by the MEWA or ECE in compliance with the Women's Health and Cancer Rights Act of 1998?
Yes

21e Is the coverage provided by the MEWA or ECE in compliance with Michelle's Law? Yes

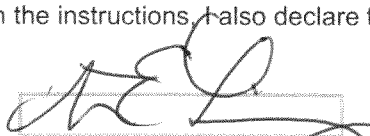
21f Is the coverage provided by the MEWA or ECE in compliance with the Patient Protection and Affordable Care Act of 2010 and the Department's regulations issued thereunder that are applicable as of the date signed at the bottom of this form? Yes

ATTACHMENTS

SIGNATURE

Under penalty of perjury and other penalties set forth in the instructions, I declare that I have examined this report, including any accompanying attachments, and to the best of my knowledge and belief, it is true and correct. Under penalty of perjury and other penalties set forth in the instructions, I also declare that, unless this is an extension request, this report is complete.

Signature of Administrator:



Address of Administrator:

700 S. Kansas Ave. Ste 622 Topeka, KS 66603

Date:

4/21/21